

PTO/SB/21 (09-04)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

19

Application Number

10/074,996

Filing Date

February 12, 2002

First Named Inventor

Chang-Ping Lee

Art Unit

2131

Examiner Name

Unknown

Attorney Docket Number

PA2905US

ENCLOSURES (Check all that apply)

Fee Transmittal Form



Fee Attached



Amendment/Reply



After Final



Affidavits/declaration(s)



Extension of Time Request



Express Abandonment Request



Information Disclosure Statement



Certified Copy of Priority Document(s)

Reply to Missing Parts/
Incomplete ApplicationReply to Missing Parts
under 37 CFR 1.52 or 1.53

Drawing(s)



Licensing-related Papers



Petition

Petition to Convert to a
Provisional Application

Power of Attorney, Revocation



Change of Correspondence Address



Terminal Disclaimer



Request for Refund



CD, Number of CD(s) _____

☐ Landscape Table on CD

After Allowance Communication to TC

Appeal Communication to Board
of Appeals and InterferencesAppeal Communication to TC
(Appeal Notice, Brief, Reply Brief)

Proprietary Information



Status Letter

Other Enclosure(s) (please identify
below):

- 1) Confirmation Postcard;
- 2) PTO/SB/08 (x2);
- 3) Duplicate of Supplemental IDS (2pp);
- 4) List of Co-Pending Applications (1p, x2);
- 5) Copies of 15 Cited References

Remarks

Total page number does not include postcard or copies of cited references.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name

Carr & Ferrell LLP

Cust. No. 22830

Signature

Printed name

Susan Yee

Date

August 24, 2005

Reg. No.

41,388

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Susan Yee, Reg. No. 41,388

Date

August 24, 2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Chang-Ping Lee et al.
SERIAL NUMBER: 10/074,996
FILING DATE: February 12, 2002
TITLE: Method and Apparatus for Securing Electronic Data
EXAMINER: Unknown
ART UNIT: 2131
ATTY. DKT. NO.: PA2905US

MAIL STOP AMENDMENT
COMMISSIONER FOR PATENTS
P.O. BOX 1450
ALEXANDRIA, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
Under 37 C.F.R. §§ 1.56, and 1.97-1.98

SIR:

Pursuant to the provisions of 37 C.F.R. §§ 1.56 and 1.97-98 of the Rules of Practice in Patent Cases, enclosed herewith is form PTO-SB-08 and a List of Co-Pending Patent Applications, listing several references; copies of the foreign and non-patent references are enclosed. A copy of the co-pending application is not enclosed as the application is available via the Patent Application Information Retrieval system. As such, submission is not required under 37 C.F.R. § 1.98(a)(2)(iii).

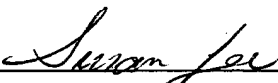
The Examiner is requested to make these references of official record in this application. The references cited may be material to examination of the application and are submitted in compliance with Applicants' duty of disclosure as defined by 37 C.F.R. § 1.56.

No representation is made or intended as to the completeness of this list, nor is the inclusion of any reference on this list an admission that it is prior art or pertinent to this application.

Applicants believe no fee is due with this submission. If a fee is due, however, the Commissioner is hereby authorized to charge any necessary fee to Deposit Account No. 06-0600. A copy of this statement is submitted in duplicate for this purpose.

Respectfully submitted,
Chang-Ping Lee et al.

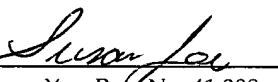
Dated: August 24, 2005

By: 
Susan Yee, Reg. No. 41,388
Carr & Ferrell LLP
2200 Geng Road
Palo Alto, CA 94303
TEL: (650) 812-3400
FAX: (650) 812-3444

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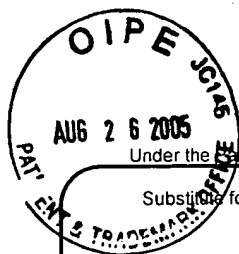
IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Chang-Ping Lee et al.
APPLICATION NO.: 10/074,996
FILED: February 12, 2002
TITLE: Method and Apparatus for Securing Electronic Data
EXAMINER: Unknown
GROUP ART UNIT: 2131
ATTY.DKT.NO.: PA2905US

MAIL STOP AMENDMENT
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P.O. BOX 1450
ALEXANDRIA, VA 22313-1450

**List of Co-Pending Patent Applications That May Be Directed
Towards Similar Subject Matter**

Examiner's Initials	Serial Number	First-Named Inventor	Title	Filing Date
	10/076,254	Alain Rossmann	Method and Architecture for Providing Pervasive Security to Digital Assets	February 12, 2002
Examiner's Signature:				Date



Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	10/074,996
Filing Date	February 12, 2002
First Named Inventor	Chang-Ping Lee
Art Unit	2131
Examiner Name	Unknown
Attorney Docket Number	PA2905US

Sheet 1 of 6

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US- 4,757,533	07-12-1988	Allen et al.	
		US- 5,052,040	09-24-1991	Preston et al.	
		US- 5,058,164	10-15-1991	Elmer et al.	
		US- 5,220,657	06-15-1993	Bly et al.	
		US- 5,247,575	09-21-1993	Sprague et al.	
		US- 5,369,702	11-29-1994	Shanton	
		US- 5,375,169	12-20-1994	Seheidt et al.	
		US- 5,406,628	04-11-1995	Beller et al.	
		US- 5,414,852	05-09-1995	Kramer et al.	
		US- 5,495,533	02-27-1996	Linehan et al.	
		US- 5,570,108	10-29-1996	McLaughlin et al.	
		US- 5,680,452	10-21-1997	Shanton	
		US- 5,684,987	11-04-1997	Mamiya et al.	
		US- 5,689,718	11-18-1997	Sakurai et al.	
		US- 5,699,428	12-16-1997	McDonnal et al.	
		US- 5,708,709	01-13-1998	Rose	
		US- 5,717,755	02-10-1998	Shanton	
		US- 5,729,734	03-17-1998	Parker et al.	
		US- 5,751,287	05-12-1998	Hahn et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
		EP 0 674 253 A1	09-27-1995	Kabushiki Kaisha Toshiba		
		EP 0 809 170 A1	11-26-1997	NCR International Inc.		
		EP 0 950 941 A2	10-20-1999	Fujitsu Limited		
		EP 1 130 492 A2	09-05-2001	Sony Corporation		
		EP 1 154 348 A2	11-14-2001	Matsushita Electronics Corp.		
		GB 2 328 047 A	02-10-1999	Microsoft Corporation		

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Considered

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	10/074,996
Filing Date	February 12, 2002
First Named Inventor	Chang-Ping Lee
Art Unit	2131
Examiner Name	Unknown
Attorney Docket Number	PA2905US

Sheet **2** of **6****U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US- 5,787,169	07-28-1998	Eldridge et al.	
		US- 5,787,173	07-28-1998	Seheidt et al.	
		US- 5,787,175	07-28-1998	Carter	
		US- 5,821,933	10-13-1998	Keller et al.	
		US- 5,835,601	11-10-1998	Shimbo et al.	
		US- 5,870,477	02-09-1999	Sasaki et al.	
		US- 5,892,900	04-06-1999	Ginter et al.	
		US- 5,898,781	04-27-1999	Shanton	
		US- 6,044,155	03-28-2000	Thomlinson et al.	
		US- 6,134,327	10-17-2000	Van Oorschot	
		US- 6,141,754	10-31-2000	Choy	
		US- 6,253,193 B1	06-26-2001	Ginter et al.	
		US- 6,260,141 B1	07-10-2001	Park	
		US- 6,308,256 B1	10-23-2001	Folmsbee	
		US- 6,336,114 B1	01-01-2002	Garrison	
		US- 6,351,813 B1	02-26-2002	Mooney et al.	
		US- 6,356,903 B1	03-12-2002	Baxter et al.	
		US- 6,363,480 B1	03-26-2002	Perlman	
		US- 6,490,680 B1	12-03-2002	Scheidt et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
		JP 2001-036517	02-09-2001	Lucent Technol, Inc.		
		WO 01/84271 A2	11-08-2001	Certia, Inc.		
		WO 01/61438 A2	08-23-2001	Permabit, Inc.		
		WO 01/63387 A3	08-30-2001	Visualgold.com, Inc.		
		WO 01/78285 A1	10-18-2001	Mediadna, Inc.		
		EP 0 913 966 A2	05-06-1999	Sun Microsystems, Inc.		

Examiner
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Considered

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Sheet

3

of

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Application Number	10/074,996
Filing Date	February 12, 2002
First Named Inventor	Chang-Ping Lee
Art Unit	2131
Examiner Name	Unknown
Attorney Docket Number	PA2905US

U. S. PATENT DOCUMENTS

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		Number-Kind Code ² (if known)			
		US- 6,505,300 B2	01-07-2003	Chan et al.	
		US- 6,542,608 B2	04-01-2003	Scheidt et al.	
		US- 6,549,623 B1	04-15-2003	Scheidt et al.	
		US- 6,608,636 B1	08-19-2003	Roseman	
		US- 6,633,311 B1	10-14-2003	Douvikas et al.	
		US- 6,834,341 B1	12-21-2004	Bahl et al.	
		US- 2001/0044903 A1	11-22-2001	Yamamoto et al.	
		US- 2001/0021255 A1	09-13-2001	Ishibashi	
		US- 2001/0023421 A1	09-20-2001	Numao et al.	
		US- 2002/0016922 A1	02-07-2002	Richards et al.	
		US- 2002/0073320 A1	06-13-2002	Rinkevich et al.	
		US- 2002/0124180 A1	09-05-2002	Hagman	
		US- 2002/0129235 A1	09-12-2002	Okamoto et al.	
		US- 2002/0143710 A1	10-03-2002	Liu	
		US- 2002/0162104 A1	10-31-2002	Raike et al.	
		US- 2002/0198798 A1	12-26-2002	Ludwig et al.	
		US- 2003/0014391 A1	01-16-2003	Evans et al.	
		US- 2003/0033528 A1	02-13-2003	Ozog et al.	
		US- 2003/0037237 A1	02-20-2003	Abgrall et al.	

FOREIGN PATENT DOCUMENTS

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		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
		WO 00/56028	09-21-2000	Texar Software Corp.		

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Attorney Docket Number	PA2905US

Sheet 4 of 6

U. S. PATENT DOCUMENTS

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		Number-Kind Code ² (if known)			
		US- 2003/0115146 A1	06-19-2003	Lee et al.	
		US- 2003/0182579 A1	09-25-2003	Leporini et al.	
		US- 2005/0021467 A1	01-27-2005	Franzdonk	
		US- 2004/0215956 A1	10-28-2004	Venkatachary et al.	
		US- 5,584,023	12-10-1996	Hsu	
		US- 5,953,419	09-14-1999	Lohstroh et al.	
		US- 6,442,695 B1	08-27-2002	Dutcher et al.	
		US- 2002/0042756 A1	04-11-2002	Kumar et al.	
		US- 2002/0069272 A1	06-06-2002	Kim et al.	
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Application Number	10/074.996
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Filing Date	February 12, 2002
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First Named Inventor	Chang-Ping Lee
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Art Unit	2131
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Examiner Name	Unknown
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Attorney Docket Number	PA2905US
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Sheet

5

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U. S. PATENT DOCUMENTS

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FOREIGN PATENT DOCUMENTS

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				Art Unit	2131
				Examiner Name	Unknown
Sheet	6	of	6	Attorney Docket Number	PA2905US

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		"Expiration Mechanism for Chipcards," IBM Technical Disclosure Bulletin, October 1, 2001, UK.	
		McDANIEL et al., "Antigone: A Flexible Framework for Secure Group Communication," Proceedings of the 8th USENIX Security Symposium, August 23, 1999.	

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